



Date: November 19, 2001  
Docket No.: IR-2588(ET)CIP2

1733

#3  
1-2302

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, DC 20231

Sir:

Transmitted herewith for filing in the patent application of

Inventor(s): Caster, et al.      Serial No.: 09/975,761  
Examiner: Unknown      Group Art Unit: Unknown  
For: "Contact Metathesis Polymerization"      Filed: 10/11/2001

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Enclosed are:

- ☒ Information Disclosure Statement Under 37 CFR §1.97 and §1.98
- ☒ Form PTO 1449 with copies of cited references
- ☒ Post Card for Acknowledgement of Receipt
- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-2143. A duplicate copy of this sheet is enclosed.

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Attorney for Applicant(s)  
Registration No. 35,115

CERTIFICATION OF MAILING UNDER 37 CFR 1.8(A)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service, on November 19, 2001, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231, with sufficient postage as first class mail (37 CFR 1.8(a)).

Alida M. Clark  
Name of Person Mailing Paper

Alida M. Clark  
Signature of Person Mailing Paper

November 19, 2001  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Caster, et al.	Group Art Unit:	Unknown
Assignee:	Lord Corporation	Examiner:	Unknown
Serial No.:	09/975,761	Filed:	10/11/2001
For:	"Contact Metathesis Reaction"		



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November 19, 2001

Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.97 AND 1.98

Sir:

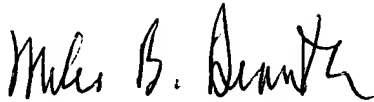
In accordance with the duty of disclosure under 37 CFR §2.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO-1449 form and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 CFR §1.97(e) or fee under 37 CFR §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



Miles B. Dearth  
Attorney for Applicant  
Registration No. 35, 115

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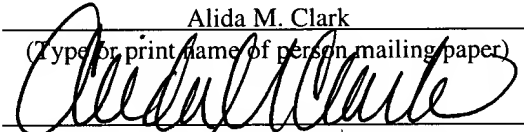
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Alida M. Clark

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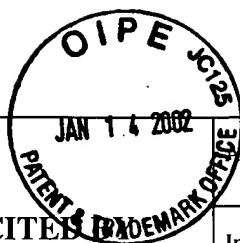


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Form PTO-1449


 Docket No.  
IR-2588(ET)CIP2

 Serial No.  
09/975,761

**LIST OF RELATED ART CITED BY APPLICANT**

(Use several sheets if necessary)

 Inventor **Caster, et al.**

 Filing Date  
10/11/2001

 Group  
Unknown

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**U.S. PATENT DOCUMENTS**

*Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB	FILING DATE IF APPROPRIATE
	AA	4,727,215	2/88	Schrock			
	AB	4,902,560	2/90	Silver			
	AC	5,073,597	12/91	Puydak, et al.			
	AD	5,137,785	8/92	Suzuki, et al.			
	AE	5,312,940	5/94	Grubbs, et al.			
	AF	5,342,909	8/94	Grubbs, et al.			
	AG	5,491,206	2/96	Brown-Wensley, et al.			
	AH	5,603,985	2/97	Kent, et al.			
	AI	5,609,962	3/97	Ouhadi			
	AJ	5,728,785	3/98	Grubbs, et al.			
	AK	5,973,085	10/99	Muhlebach, et al.			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION	
							YES	NO
	AL	WO 97/38036	10/97	PCT				
	AM	EP 0 424 833	10/90	EPO				
	AN	WO 96/23829	8/96	PCT				
	AO	WO 96/16008	5/96	PCT				
	AP	WO 96/16100	5/96	PCT				

**OTHER RELATED ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AR		Ikeda, A.; Tsubata, A.; Kameyama, A.; Nishikubo, T. "Synthesis and Photochemical Properties of Poly(ester-amide)s Containing Norbornadiene (NBD) Residues", <i>J. Poly. Sci.: Part A: Polymer Chemistry</i> , 1999, 37, 917.					
	AS		Coleman, C.G.; McCarthy, T.J. "Tricyclooctadiene: A Crosslinking Agent for Olefin Metathesis Polymerization", <i>Polymer Preprints</i> , 1988, 29, 283.					
	AT		Bazan, G.C.; Schrock, R.R., "Synthesis of Star Block Copolymers by Controlled Ring-Opening Metathesis Polymerization", <i>Macromolecules</i> , 1991, 24, 817.					

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformation and not considered. Include copy of this form with next communication with applicant.

<b>Form PTO-1449</b>  <b>LIST OF RELATED ART CITED BY APPLICANT</b> (Use several sheets if necessary)	Atty. Docket No. 2588(ET)CIP2	Serial No. 09/975,761
	Inventor <b>Caster, et al.</b>	
	Filing Date 10/11/2001	Group Unknown

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## U.S. PATENT DOCUMENTS

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AA						
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AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION YES NO	
AL	EP 0 889 107 A	1/99	EPO				
AM	EP 0 381 611 A	8/90	EPO				
AN	EP 0 063 092 A	10/82	EPO				
AO							
AP							

## OTHER RELATED ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	Saunders, R.S.; Cohen, R.E.; Wong, S.J.; Schrock, R.R., "Synthesis of Amphiphilic Star Block Copolymers Using Ring-Opening Metathesis Polymerization", <i>Macromolecules</i> , 1992, 25, 2055.
AS	Stille, J.K.; Witherall, D.R., "Influence of Hydrogen Crowding on the Rates of Reactions. The Addition of cis Reagents to the Dimethanonaphthalene Ring System", <i>J. Amer.Chem.Soc.</i> , 1964, 86, 2188.
AT	Stille, J.K.; Frey, D.A. "Tertacyclic Dienes. I. The Diels-Alder Adduct of Norbornadiene and Cyclopentadiene", <i>J.Amer.Chem.Soc.</i> , 1959, 81, 4273.

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						YES	NO
AL							
AM							
AN							
AO							
AP							

### OTHER RELATED ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		Hart, Harold; Lai, Chung-yin ; Nwokogy, Godson Chukuemeka; Shamouilian, Shamouil; "Tetraholobenzenes as Di-Aryne Equivalents in Polycyclic Arene Synthesis"
			<i>Tetrahedron</i> , Vol. 43, No. 22, pp. 5203 to 5224, 1987
	AS		
	AT		

EXAMINER	DATE CONSIDERED
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